EKLINGJI BROKING LLP

(**LLPIN**: ACA-2231)

Registered Office: A-1107, Unicus Shyamal, Opp Iconic Shyamal, Shyamal Cross Road, Vejalpur, Manekbag, Ahmedabad, Gujarat, India, 380015

Email: compliance@eklingjibroking.com

Client Acceptance Policy

Eklingji Broking LLP is committed to establishing and maintaining ethical, transparent, and legally compliant relationships with its clients. This Client Acceptance Policy defines the criteria and procedures for onboarding new clients while ensuring adherence to Know Your Customer (KYC) and Anti-Money Laundering (AML) regulations.

General Principles

1. **No Anonymous or Fictitious Accounts**
   The company strictly prohibits transactions conducted under fictitious or anonymous names.

2. **Risk-Based Client Classification**
   Clients are categorized as low, medium, or high-risk based on their risk profiles, with specific onboarding criteria for each category.

3. **Comprehensive Due Diligence**
   Thorough due diligence and verification procedures must be completed before activating new client accounts, ensuring compliance with AML guidelines.

4. **Mandatory Documentation**
   All required documents must be collected and verified before client acceptance, ensuring complete transparency and regulatory compliance.

Client Risk Categorization

- Client risk category will be define as per the Company's Client risk category policy.

Unacceptable Clients

Eklingji Broking LLP will not establish relationships with:

- Clients unwilling to provide necessary identification data
- Individuals or entities with known criminal backgrounds
- Clients from jurisdictions that do not adhere to Financial Action Task Force (FATF) regulations

- Clients blacklisted by SEBI or other regulatory authorities

Politically Exposed Persons (PEPs)

Before onboarding a PEP, the company will conduct a thorough background check and verify the source of funds. Continued business relationships with PEPs require approval at the board level.

Customer Identification Procedure (CIP)

The company verifies clients' identities using reliable, independent documentation. The required documents vary based on the client's nature, such as individuals, corporations, partnerships, trusts, or associations.

Ongoing Monitoring and Reporting

Regular monitoring of client accounts is essential to identify suspicious activities. The company has measures in place to detect and report:

- Unusual transaction patterns
- Sudden activity in dormant accounts
- Transactions lacking economic rationale

By enforcing this policy, **Eklingji Broking LLP** ensures a secure and transparent client onboarding process while safeguarding its business interests and maintaining regulatory compliance.

This policy is revised and approved by the Board of Partners in the meeting held on 1st September 2026 at its registered office and will be reviewed periodically for updates as per regulatory changes.

For, Eklingji Broking LLP

Partners

EKLINGJI BROKING LLP
M.A.Shah
DESIGNATED PARTNER

EKLINGJI BROKING LLP
Jinsara
DESIGNATED PARTNER