EKLINGJI BROKING LLP

(**LLPIN**: ACA-2231)

Registered Office: A-1107, Unicus Shyamal, Opp Iconic Shyamal, Shyamal Cross Road, Vejalpur, Manekbag, Ahmedabad, Gujarat, India, 380015
Email: compliance@eklingjibroking.com

Policy on providing the facility of voluntary freezing/blocking the online access of the trading account to clients

Background:

SEBI vide Circular no. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 and Exchange Circular no. NSE/INSP/60277 dated January 16, 2024 has issued circular on Facility of voluntary freezing/ blocking of Trading Accounts by Clients".
As per the said circular trading members are supposed to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients on account of suspicious activities.
Purpose :

The purpose of this Policy is to set out the process to achieve the objective as mentioned above. Major parameters of this Policy are mentioned below :
Mode of intimation by client for freezing/ blocking trading account
The client willing to freeze/block the on-line access of trading account on account of observance of any suspicious activity may request for voluntary freezing/ blocking the online access of trading account through following mode
1) SMS from registered mobile number to registered mobile no: 9274354684
2) Trading Member's App / website : www.eklingjibroking.com

The request so received will be verified with our record and an acknowledgement will be issued to client post validation
The online access of the trading account given to client will be freezed/blocked as also will cancel all the pending orders of the said client.
The timelines for freezing/ blocking of the online access of the clients' trading account is as under:

Scenario	Timelines for issuing acknowledgement as well as freezing / blocking of the online access of the trading account.
Request received during the trading hours and within 15 minutes before the start of trading.	Within 15 minutes
Request received after the trading hours and 15 minutes before the start of trading.	Before the start of next trading session

Trading hours shall be as follows:
Capital Market Segment: 9.15 a.m. to 3.30 p.m., Equity Derivatives Segment: 9.15 a.m. to 3.30 p.m., Currency Derivatives Segment: 09.00 a.m. to 05.00 p.m., Commodity Derivatives Segment: 09.00 a.m. to 11:30 p.m. 2To begin with,
the time limit of 15 minutes is being specified for the purpose of issuing acknowledgement as well as freezing/blocking of the online access of the trading account.

EKLINGJI BROKING LLP

(**LLPIN**: ACA-2231)

Registered Office: A-1107, Unicus Shyamal, Opp Iconic Shyamal, Shyamal Cross Road, Vejalpur, Manekbag, Ahmedabad, Gujarat, India, 380015
Email: compliance@eklingjibroking.com

Communication post freezing/blocking on-line access of trading account

A communication will be sent on the registered mobile number and registered e-mail ID of the client, stating that the online access to the trading account has been frozen/blocked and all the pending orders in the trading account, if any, have been cancelled.

Details of open positions (if any) will also be communicated to the client along with contract expiry information within one hour from the freezing/blocking of the trading account.

Record Maintenance

Following records/log w.r.t. request received to freeze/block the online access of trading account be preserved for future use

confirmation given for freezing/blocking of the online access of the trading account and

cancellation of pending orders, if any, sent to the clients.

Liability on the part of trading member in case of failure to freeze/block online access within time

In case of failure in freezing/ blocking the online access within the prescribed timelines (15 minutes in case the request is received during the trading hours and within 15 minutes before the start of trading / Before the start of the next trading session in case the request is received after the trading hours and 15 minutes before the start of the trading), we shall be responsible for any trades executed from the time of receipt of such request till such time the online access is blocked / frozen.

Process for re-enabling the client for online access of the trading account: -

Submission of duly signed application for online access re-enablement

Request for re-enablement through registered email id

Re-enablement of online access be done after carrying out necessary due diligence including validating the client request

It is clarified that:

a. Freezing/blocking is only for the online access to the client's trading account, and there shall be no restrictions on the Risk Management activities of the Trading Member.

b. The request for freezing/ blocking does not constitute request for marking client Unique Client Code (UCC) as inactive in the Exchange records.

Effective Date

This policy is effective from 1st September 2026

13 Review and update

This policy shall be reviewed and updated on an annual basis or as and when there are any changes introduced by any statutory authority.

EKLINGJI BROKING LLP

(**LLPIN**: ACA-2231)

Registered Office: A-1107, Unicus Shyamal, Opp Iconic Shyamal, Shyamal Cross Road, Vejalpur, Manekbag, Ahmedabad, Gujarat, India, 380015
Email: compliance@eklingjibroking.com

Policy on pre funded Instruments and Electronic Fund Transfer

If the aggregate value of pre-funded instruments is Rs. 50,000/- or more from client per day per client, we may accept the instruments only if the same are accompanied by the name of the bank account holder and number of the bank account debited for the purpose, duly certified by the issuing bank.

And the mode of certification may include the following either:

a. Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.

b. Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.

c. Certified copy of the passbook/bank statement for the account debited to issue the instrument.

d. Authentication of the bank account-number debited and name of the account holder by the issuing bank on the reverse of the instrument.

We also maintain an audit trail of the funds received through electronic fund transfers to ensure that the funds are received from their clients only.

This policy is revised and approved by the Board of Partners in the meeting held on 1st September 2026 at its registered office and will be reviewed periodically for updates as per regulatory changes.

For, Eklingji Broking LLP

	EKLINGJI BROKING LLP	EKLINGJI BROKING LLP
	M.A.Shah	Signature
Partners	DESIGNATED PARTNER	DESIGNATED PARTNER